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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Aberystwyth University

Application No./Patent No.: 09/445,299- Conf. #9774 Filing Date: May 11, 2000

Entitled: Bacterial Pheromones and Uses Thereof

Aberystwyth University, a University
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

- Galina V. Mukamcova, Arseny S. Kaprelyants, Michael Young, Douglas B. Keil, and Danielle L. Young To: The University College of Wales, Aberystwyth
1. From: The University of Wales, Aberystwyth To: Aberystwyth University
The document was recorded in the United States Patent and Trademark Office at Reel 010826, Frame 0170, or for which a copy thereof is attached.
2. From: The University of Wales, Aberystwyth To: Aberystwyth University
The document was recorded in the United States Patent and Trademark Office at Reel 025902, Frame 0440, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature] 7/4/2011
Signature Date
Keith Lewis Finance Director
Printed or Typed Name
Title: Aberystwyth University

I hereby certify that this paper (along with any paper related to as being attached or submitted) is being transmitted via the Office electronic filing system in accordance with §1.60(a)(4).

Dated: May 25, 2011

Signature: /s/ Maria
Maria Laccotripe Zacharakis, Ph.D., J.D.